Surveillance and monitoring



Infrastructure safety - common points



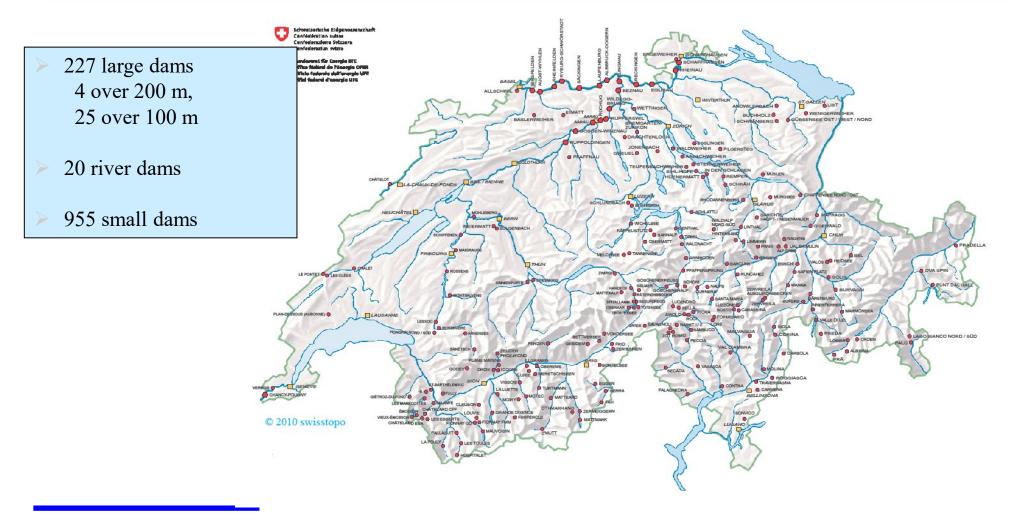




- > Human design
- Stored potential energy
- Potential damage



Large dams in Switzerland



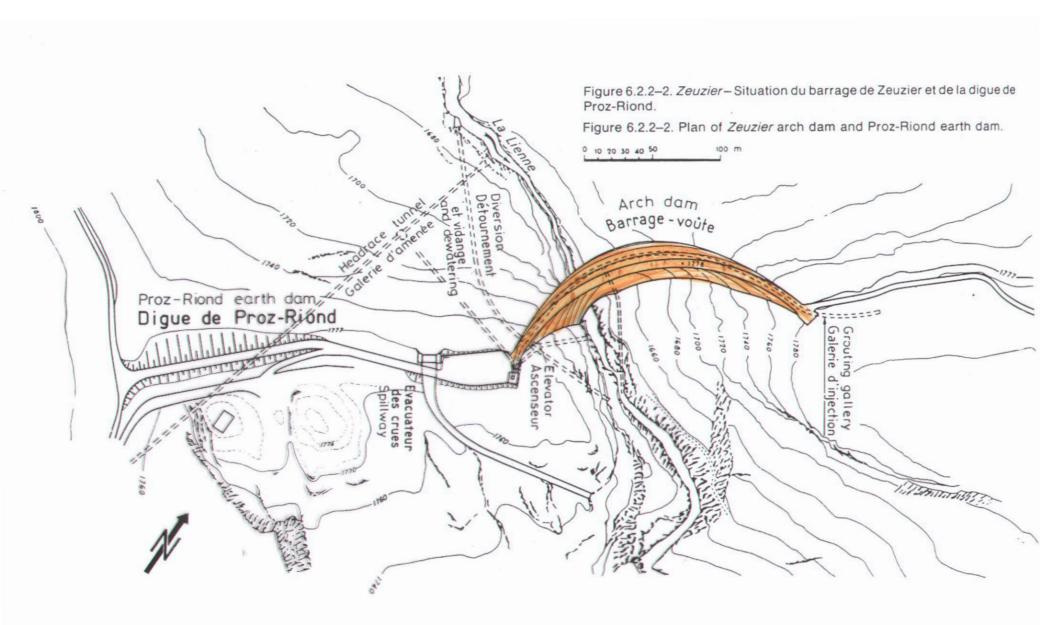




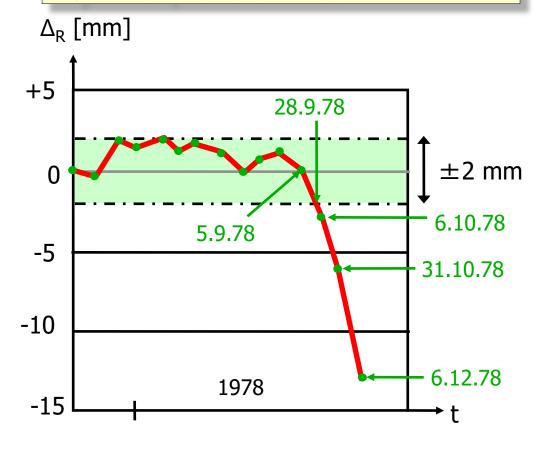
We learn from accidents and incidents

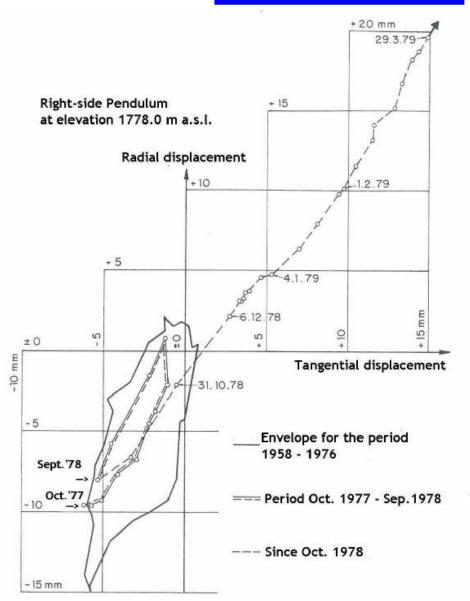




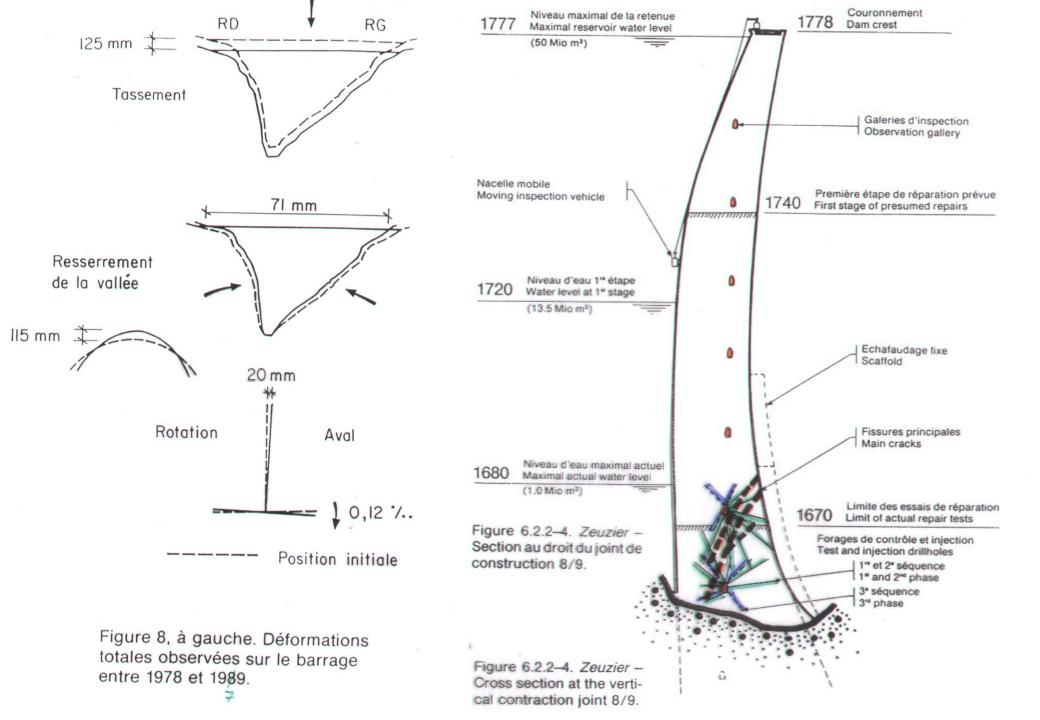


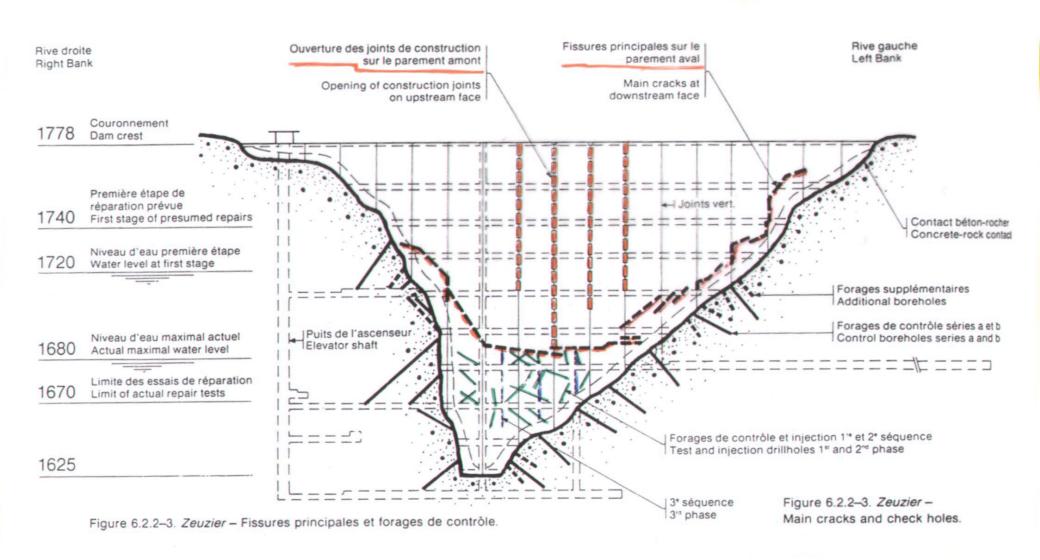
1978: movement measured by the pendulum

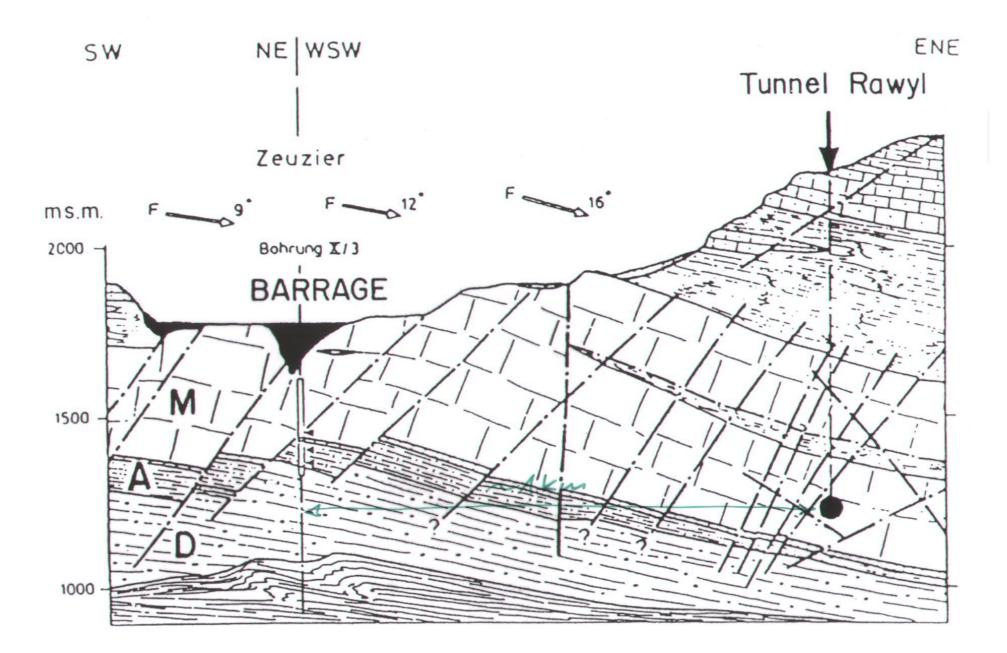














Causes of dam failures

Statistics issued from ICOLD Congress 2018

Question 105 – Incidents and Accidents concerning Dams

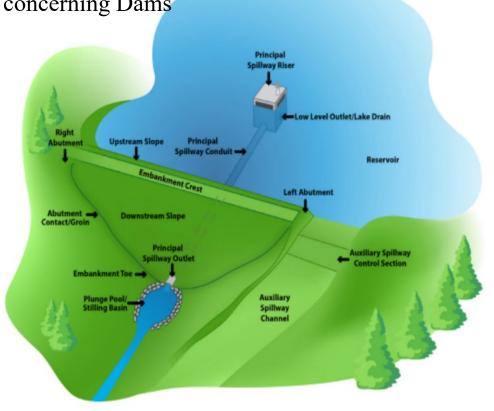
ICOLD Bulletin 99, updated 2021

Causes of dam failure:

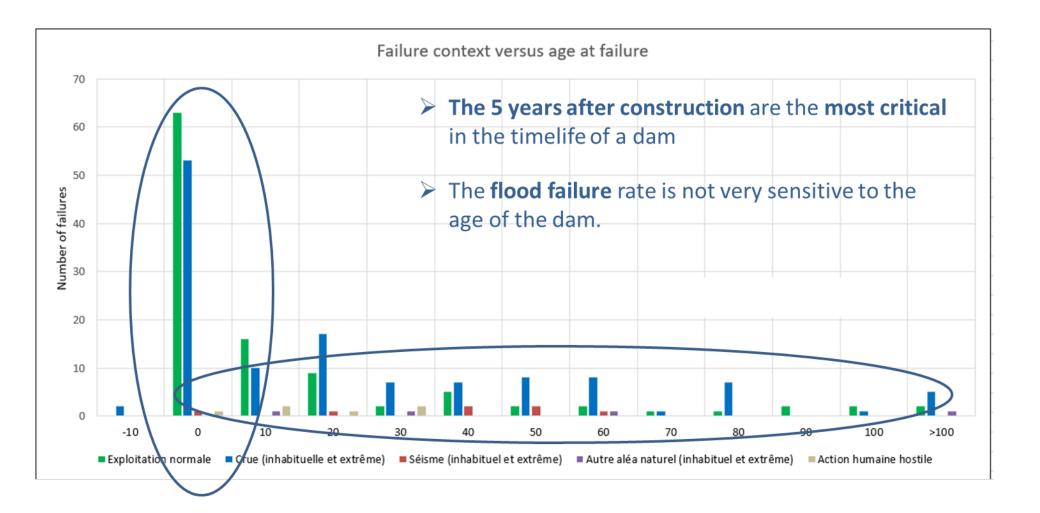
✓ Dam overtopping $\sim 40 \%$

✓ Internal erosion ~ 40 %

✓ Other cause $\sim 20 \%$ (structural, human factor, earthquake, etc)

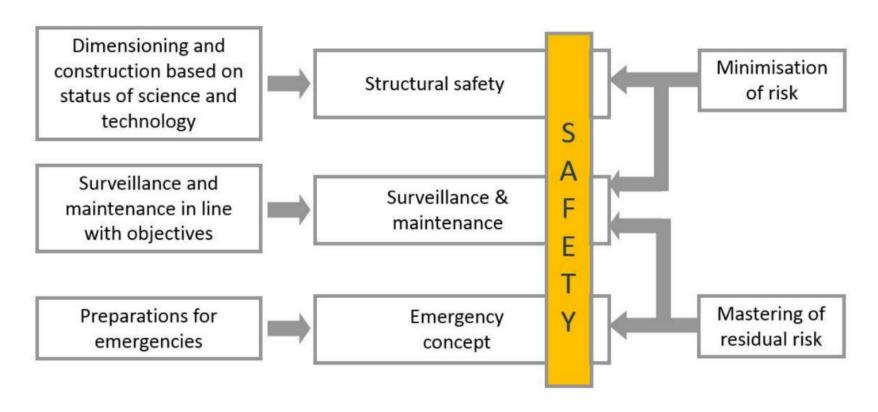








Swiss Dam Safety Concept











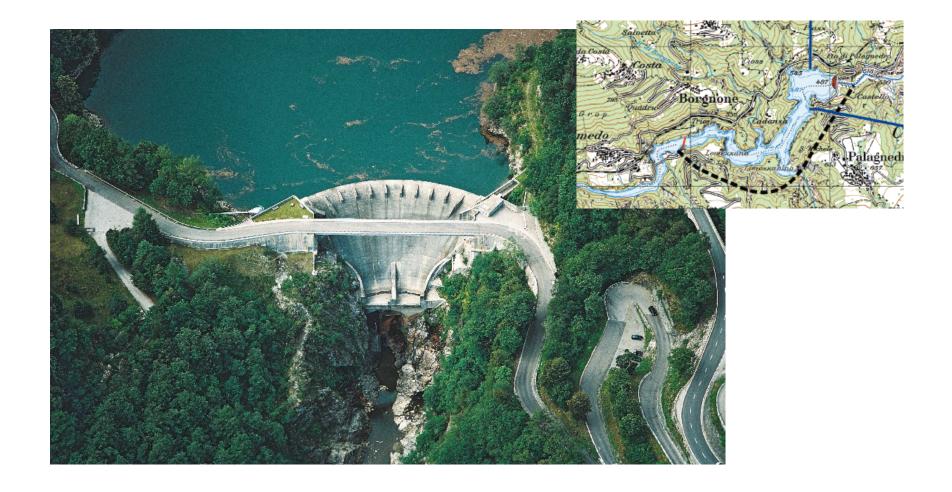
7th August 1978:

- Heavy rain \rightarrow flood
- 25,000 tree trunks swept away
- flood spillway blocked
- the bypass gallery remained closed
- spillage and shoreline erosion











Historical accidents



Vajont (Italy) 1963 Malpasset (France) 1959





World Declaration on Dam Safety

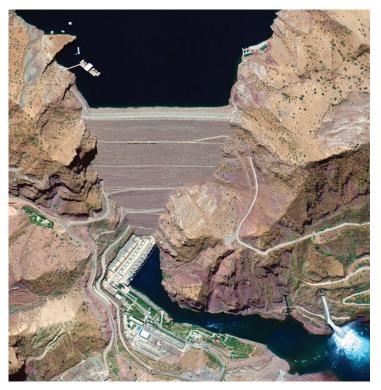
- □ ICOLD Mission statement says
 "ICOLD leads the profession in setting standards and establishing guidelines to ensure that dams are built and operated safely, efficiently, economically, and are environmentally sustainable and socially equitable."
- ⇒ Core value of ICOLD since its foundation in 1928
- ⇒ ICOLD issued in 2019 a World Declaration on Dam Safety



World Declaration on Dam Safety

Pillars of Dam Safety

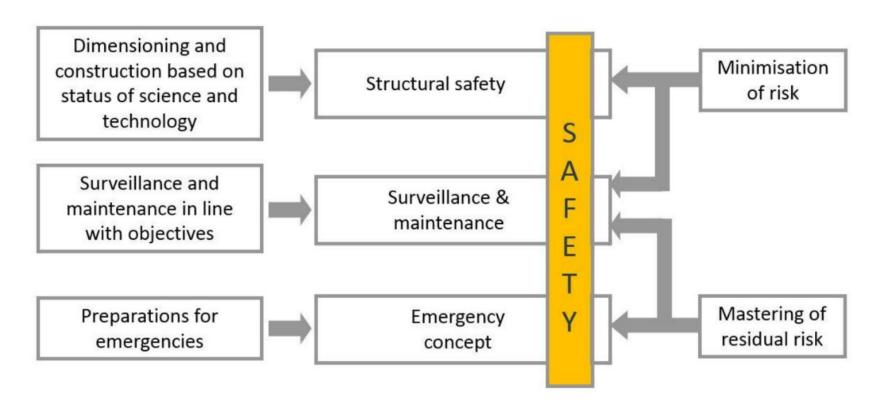
- Structural safety
 - Normal operation and extreme conditions
 - > ICOLD bulletin
- Surveillance and monitoring
 - Understanding the performance of the dam
 - Check the design conditions still prevail
- Emergency planning
 - ➤ Minimize the residual risk



Nurek dam in Tadjikistan (h= 300m)



Swiss Dam Safety Concept



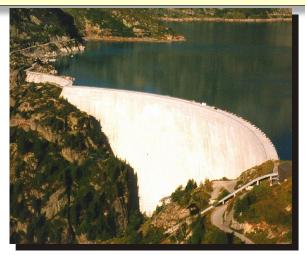


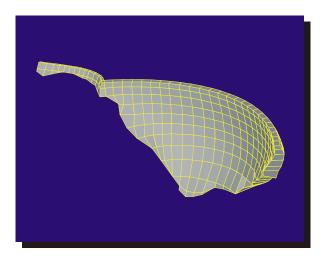
World Declaration on Dam Safety Main principles and issues

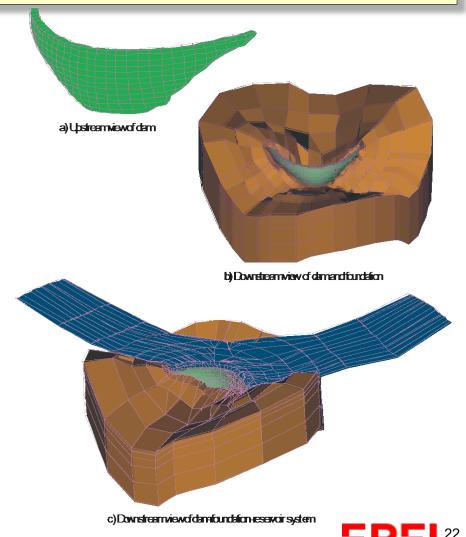
- ➤ Safety criteria of Existing Dams Same as New Dams
- ➤ Safety of Systems cascades, transboundary jurisdiction's, etc.
- ➤ Reservoir Sedimentation Impact
- Climate Change Impact
- Performant Operation and Maintenance



Correct design of the dam







Laboratory of Hydraulic Construction

Correct design of the dam

- A. At initial design
- B. Periodic verification of safety conditions

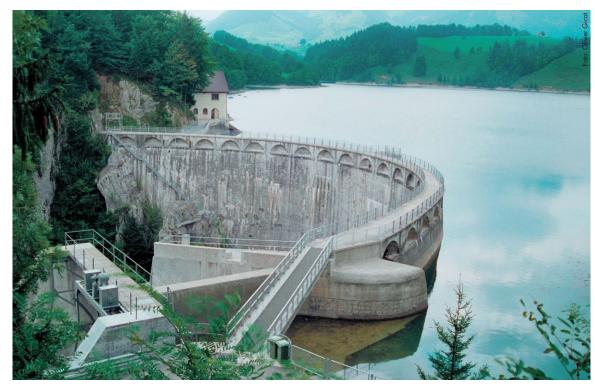
Must be considered:

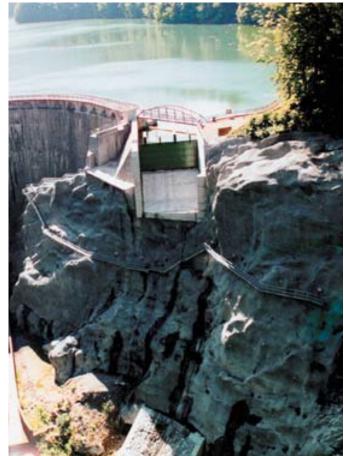
- ☐ Alteration of material properties
- ☐ Alteration of foundation conditions
- ☐ Changes in design loads
- ☐ Evolution of standards and norms
- ☐ Changes in the acceptability of societal risk
- ☐ Changes in the assessment of environmental impact
- ☐ Adapting to changing business needs



Reassessment of flood conditions

Montsalvens dam Arch dam. 52 m, 1920, raised in 1926 New spillway in 1999





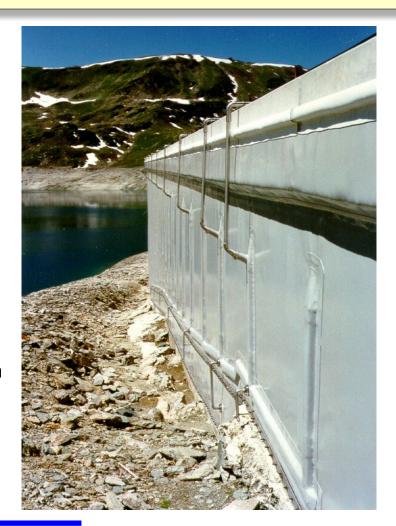


Chemical alteration

AAR - Alkaliaggregate reaction

Lago Bianco dam Waterproofing measures using a synthetic membrane to prevent water penetrating the

concrete









TOKWE MUKOSI – Zimbabwe, 2014

Failure/incident at a young age

90 m high CFRD C = 1.75 billion m3

Reservoir filled up without upstream facing

Cause:
Diversion tunnel undersized

No failure...





ITUANGO dam - Colombia, May 2018

Central Clay Core Rockfill Dam 227 m high C = 2,7 billions m³

- The diversion tunnel was blocked by a landslide and fontis near the end of construction.
- Uncontrolled raising of the reservoir level.

Discharge by the power plant cavern ≈1000 m3/s under 200 m head!!!





ITUANGO dam - Colombia, May 2018

Failure/incident at a young age

Speed race between the rise of reservoir (100 m in a few days) and the rise of an emergency upstream emergency cofferdam Finally, the dam was saved



Xe Pian - Xe Namnoy - Laos, July 2018

Failure of saddle dam of a large hydroelectric scheme during first filling

- Homogeneous dike - H= 16 m
- On 16 m deep lateritic foundation
- no foundation treatment



- ✓ Abnormal settlements recorded before failure
- √ No overtopping
- → Cause of failure : regressive erosion in the pervious and erodible lateritic foundation



Failure/incident at a Xe Pian - Xe Namnoy - Laos, July 2018 young age

- ✓ Total volume of the Xe Namnoy reservoir: 1 billion m³
- ✓ Volume released : 0,5 billion m³
- √ 140 casualties
- ✓ More than 12 000 affected people



SARDOBA dam – Ouzbekistan, May 2020

Failure/incident at a young age

Dam

Embankment dam

Commissioning: 2017

Height: 29 m

Volume reservoir : ≈ 922 Mm3

Failure during flood

Consequences:

Transboundary issues
Few casualties
Inundation and damages

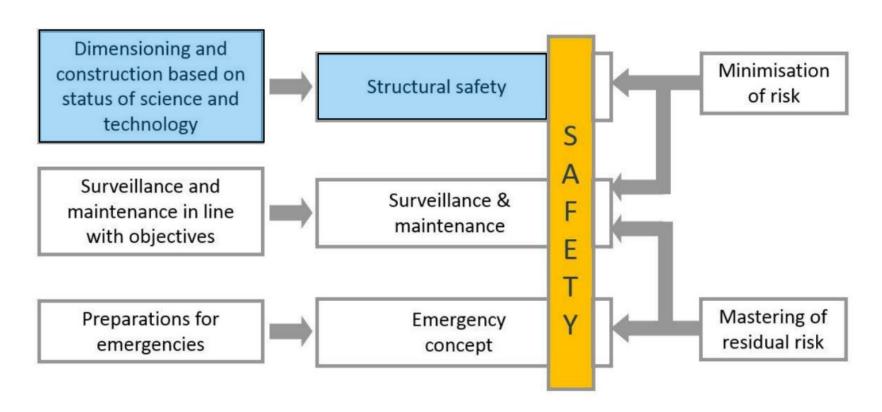
Emergency plan

70 000 p evacuated in Ouzbekistan 20 000 p evacuated in Kazakhstan

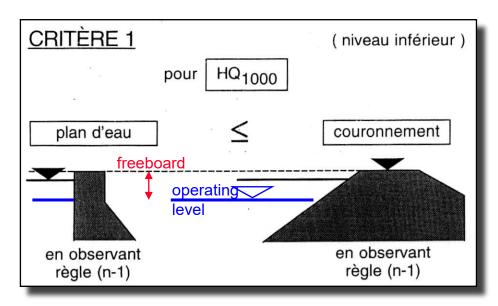




Swiss Dam Safety Concept

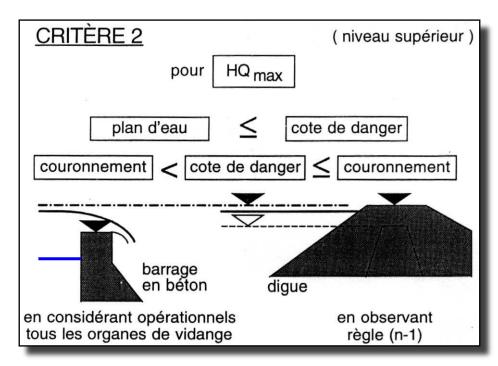


Design criteria for the "flood" case



Minimum Freeboard

Concrete dams: 1 to 2 m
Embankmant dams: 2 to 4 m





Design criteria for the "flood" case

→ Avoid uncontrolled water release and overtopping	Concrete dams		Embankment Dams	
	Design flood Q 1000	Safety flood	Design flood Q ₁₀₀₀	Safety flood PMF
Gates / Valves	1 blocked	all operational	1 blocked	1 blocked
Turbine flow	none	(yes)	none	none



Derna & Mansur dams – Libya, September 2023

Mansur Dam

Embankment dam with clay core

Commissioning: 1977

Height: 75 m

Volume reservoir : ≈ 25 Mm3

Failure during flood

Consequences:

Failure of Derna dam downstream 4,500 casualties 8,500 missing

Emergency plan 42'000 p evacuated



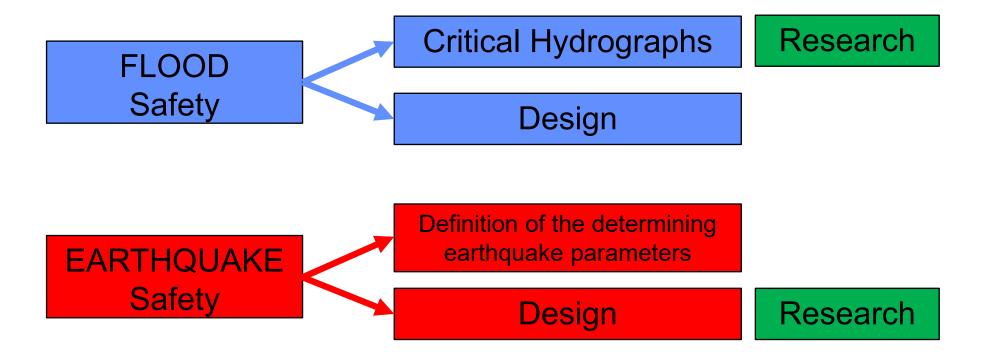








Difficulties in formulating design criteria for natural hazard load cases



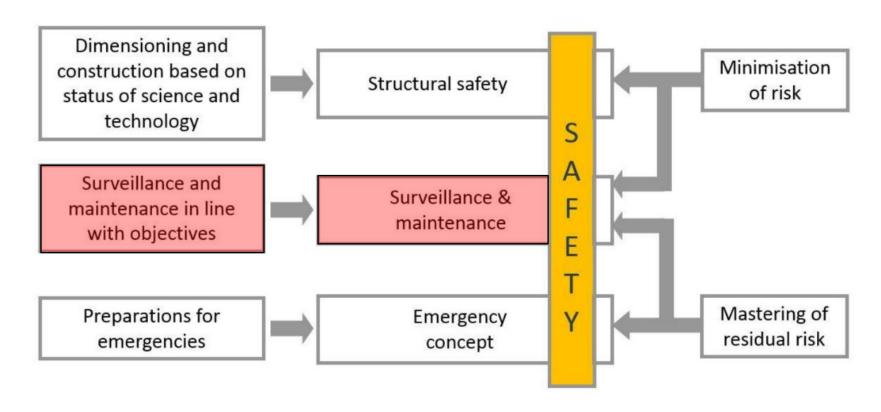


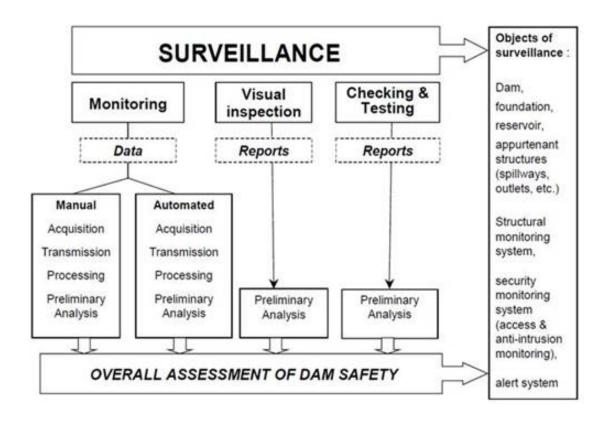
Research areas for dams in Switzerland

- ☐ Safety of concrete dams in case of earthquake
- ☐ Safety of embankment dams in case of earthquake
- ☐ Evaluation of the PMF and the design flood
- ☐ Long-term behaviour and mechanical properties of dam concrete
- ☐ Static and dynamic behaviour of rock foundation



Swiss Dam Safety Concept







The purpose of dam surveillance is to detect as quickly as possible

- any damage
- any structural safety deficiency



Vigilancia en el Concepto de seguridad de presas

According to the safety concept, surveillance is based on 3 components:

- 1. Visual inspection of all parts of the dam, according to a preestablished programme;
- 2. Monitoring the dam to check its behaviour;
- 3. Checking and testing valves and other hydraulic safety devices (with full-open test if possible).



Operational levels of surveillance

I

Dam Warden (operating staff)

Visual inspections Measurements and surveys Testing (valves)

II

Qualified Engineer (civil engineer)

Ongoing assessment of inspection results.
Detailed annual inspection Analysis of behaviour Annual report

Only for large dams

Ш

Experts (civil engineer and geologist)

Five-year safety assessment report

IV

Supervisory Authority Verification of compliance
Validation of reports
Inspections
Technical and operational measures



Level 1: The Dam Warden

Visits and inspections

- → Measurements and visual inspections following the surveillance plan;
- ⇒ Validation of electronically transmitted measurement data by carrying out manual measurements on-site.

Checking and testing gates and valves

- ⇒ Annual inspection;
- Each inspection must be carried out with a high water level in the reservoir and must include a discharge test (wet function test) if technically feasible;
- ⇒ The way the inspection is performed and the findings must be recorded in a report.



Dam Surveillance - Visual Inspection



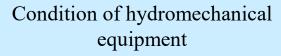


General condition, cracks



Bank instability







State of embankments, settlements, landslides, wetlands





Monitoring: Instrumentation

Überwachung auf vier verschiedenen Stufen

I Betreiber der Stauanlage Führt die regelmässigen visuellen Kontrollen, die Funktionsproben und die Messungen durch.

II Erfahrener Ingenieur Führt jährlich eine visuelle Kontrolle durch, beurteilt laufend die Messergebnisse und

schreibt einen Jahresbericht.

III Ausgewiesene Experten Führen alle fünf Jahre eine umfassende Prüfung des Zustandes und des Verhaltens der Sperre

Kontrolliert die Überwachungsorganisation des Betreibers und beurteilt die technischen Berichte. Der Bund ist Aufsichtsbehörde der 227 grossen Talsperren. Die kleineren Talsperren unterstehen den Standortkantonen



Theodolit (Winkelmesser) zum geodätischen Vermessen der Verformung der Staumauer

Mikrometer

zum Messen der

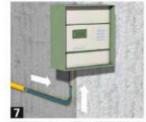
Breite von Fugen und Rissen (es gibt keine

Staumauer ohne Risse)



Pluviograf zum Messen und Aufzeichnen

der Regenmenge



Druckwaage zum Messen des Wasserpegels des Stausees



Stoppuhr und Massbecher zum Messen der Sickerwassermenge

Wasser- und der Betontemperatur



Pendellot zum Messen der Verformung der Staumauer



Nivelliergerät zum geodätischen Vermessen von Höhenunterschieden und damit der Verformung

der Staumauer



Valve operation tests

o initial





- 3 1
- 5 1





Level 2: The Qualified Engineer

Annual inspection

- Visual inspection once a year;
- Preparation of annual report, which includes
 - 1. Report on operation tests of the gates;
 - 2. Monitoring reports;
 - 3. Visual inspection report;

with, in particular

- Representation and synthetic explanation of the tests, measurements and inspections performed;
- Analysis of the results and findings concerning the condition and behavior of the dam (including auxiliary safety-relevant structures and slopes around the reservoir);
- Assessment if operation is still safe;
- Recommendations about necessary maintenance, construction measures, monitoring.



Level 3: the Experts

Five-yearly inspections

- Comprehensive safety review;
- Conducted by experienced experts in the fields of civil engineering and geology;
- Main tasks:
 - Comprehensive analysis of condition and behaviour of all components of the facility, including possible links between condition and behaviour as well as possible occurrence of long-term trends;
 - Assessment about the state of the art in science and technology;
 - Assessment if operation is still safe;
 - Recommendations in particular about necessary maintenance, construction measures, monitoring or further studies.



Level 4: The Supervisory Authority

Elaborates guidelines

Approves projects (new projects and rehabilitation)

Inspections by the supervisory authority

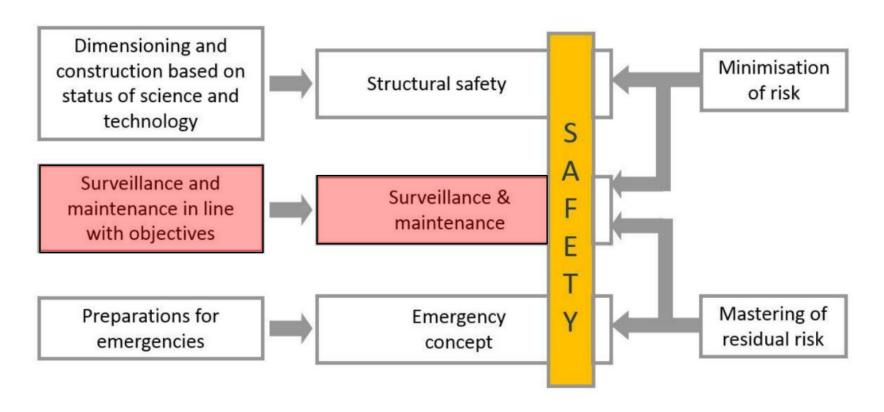
Supervisory authority participates in five-yearly inspections and additionally inspects the facilities concerned once every 5 years;

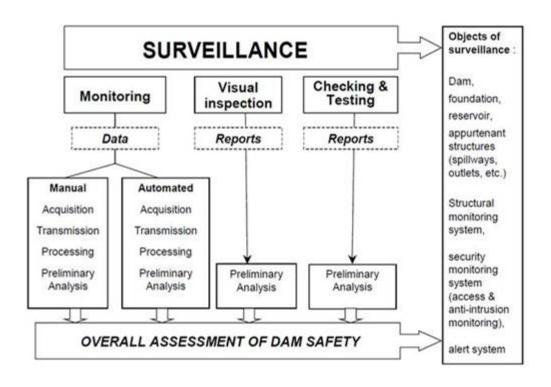
Also:

- ⇒ Formal review and validation of safety reports;
- Order technical or operational measures for dam safety;
- Order draw-down of the reservoir or other precautionary measures if necessary.



Swiss Dam Safety Concept





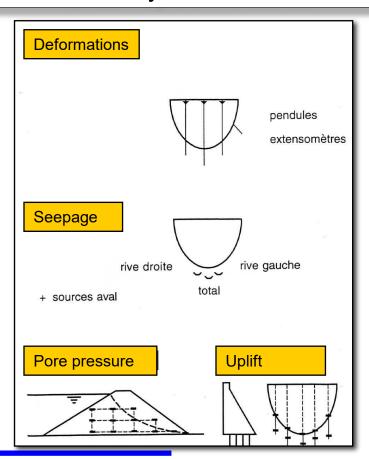
In case of abnormal behaviour:

- Enhanced surveillance
- Investigations
- Precautionary measures

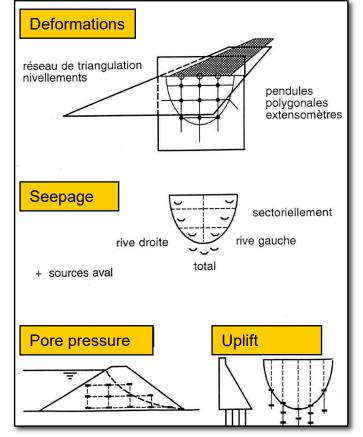


Concept of auscultation

Ordinary surveillance



Enhanced surveillance



Concept of monitoring

Failure mode

Behaviour indicators

Parameter to be measured

Sollicitations, loads Response

Instrumentation

Accuracy Redundancy



Concept of monitoring

Deformations

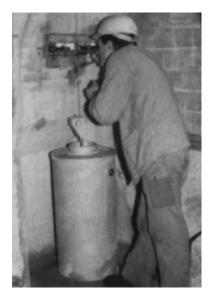
- along vertical lines
 (extension underground)
 Plumblines and inverted plumblines
 geodetic survey (angle and distance
 measurements)
- ⇒ along horizontal lines
 (extension in abutments)
 wire alignment, levelling, optical alignment, angle
 and distance measurements, polygons
- in cracks and joints
 accessible reference points (micrometer, dilatometer, clinometer),
 stress jauges

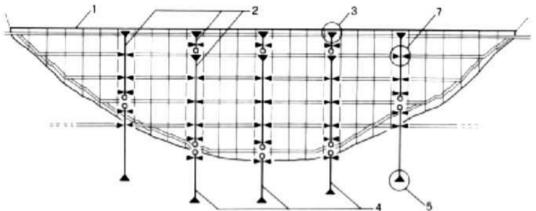
Seepage and pore pressures

- ⇒ Seepage flow in galleries
 - volumetric measurement with container and chronometer
 - calibrated weir, measuring channel
- Pore pressure
 - open boreholes / wells (piezometer)
 - closed boreholes (manometers)
 - pressure cells
 - ✓ pneumatic,
 - √ hydraulic or
 - ✓ Electric cells



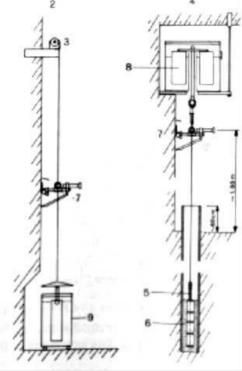
Monitoring system – Plumblines / inverted plumblines





Reference number 2.1000(1), above. Typical example of a modern arrangement of plump lines. 1 Crest of dam, 2 Plumb line, 3 Point of suspension of plumb line, 4 Inverted plumb line, 5 Anchorage of inverted plumb line.

Reference number 2.100(2) at right. Schematic representation of plumb lines. 1 Crest of dam, 2 Plumb line, 3 Point of suspension of plumb line, 4 Inverted plumb line, 5 Anchorage of inverted plumb line, 6 Cement grout, 7 Measuring point, 8 Float/float tank, 9 Damping tank.



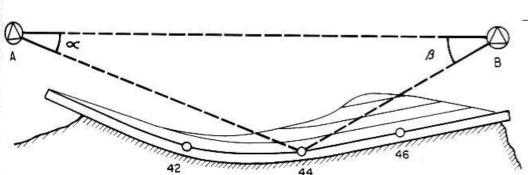




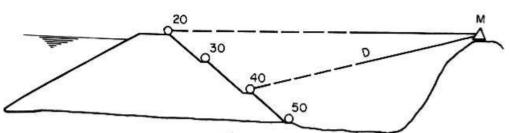
Monitoring system – Plumblines Optical measurement



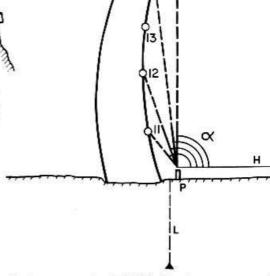
Monitoring system - Measuring angles and distances



Reference number 2.101(1). Simple measurement of angles used for determining horizontal displacements. The measurement system uses observations with a theodolite from a pillar (reference point A) to the control points on the dam (targets 42, 44, and 46) using reference point (B) as orientation. As a check analogical measurements can be made from the opposite reference point (B).



Reference number 2.101(3). Simple measurement of distances between control targets on the dam and fixed reference points downstream deplacements of the dam using an electro-optical distance meter. (M) Reference point, (D) Measured distance, 20 to 50 Control targets.



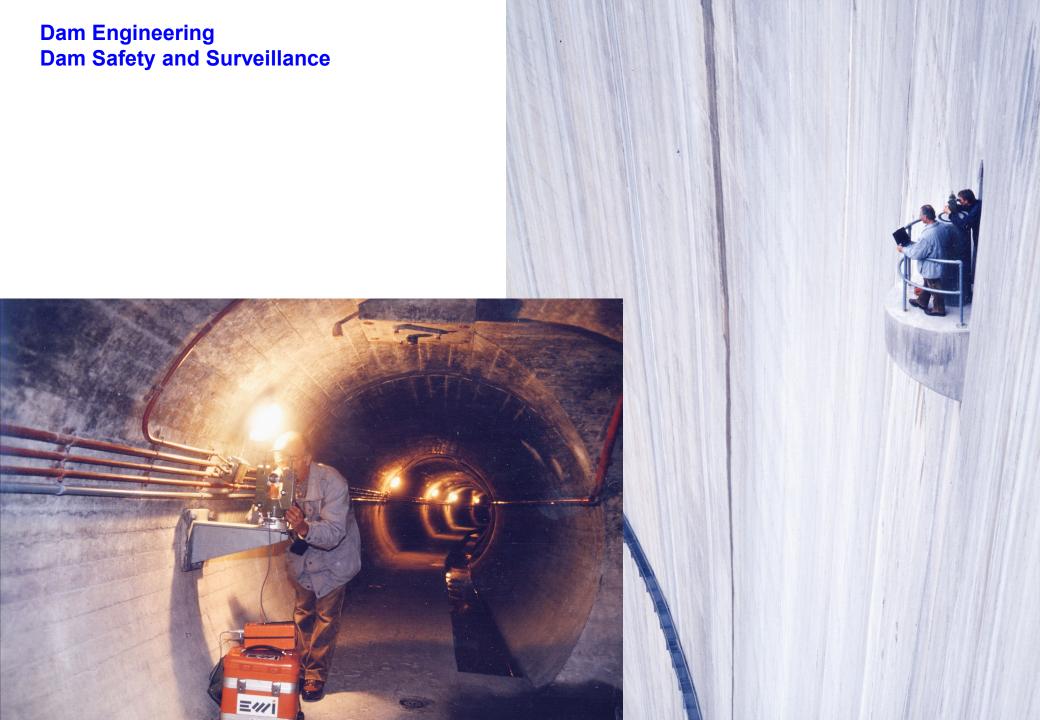
Reference number 2.101(2). Simple measurement of vertical angles used for determining the upstream/downstream deplacements of targets located on the downstream face of the dam. (P) Reference point, usually an observation pillar, (H) Horizon, (L) Inverted plumb line, 11 to 16 Control targets.



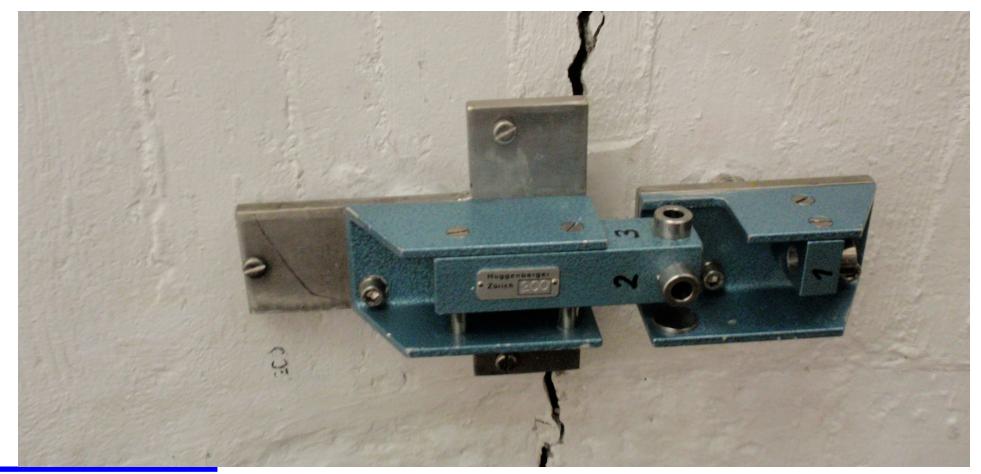
Monitoring system – Geodetic survey





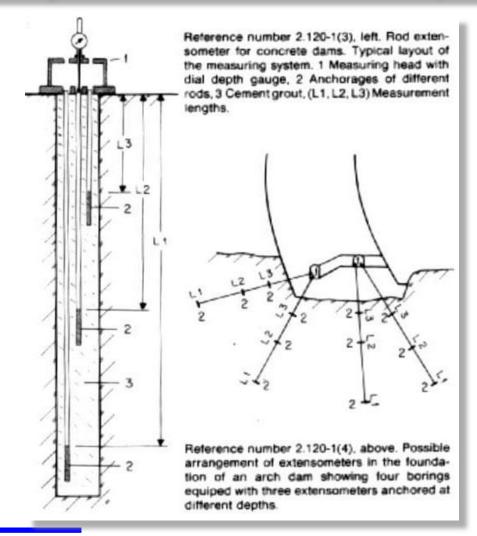


Crack opening measurement: Micrometer

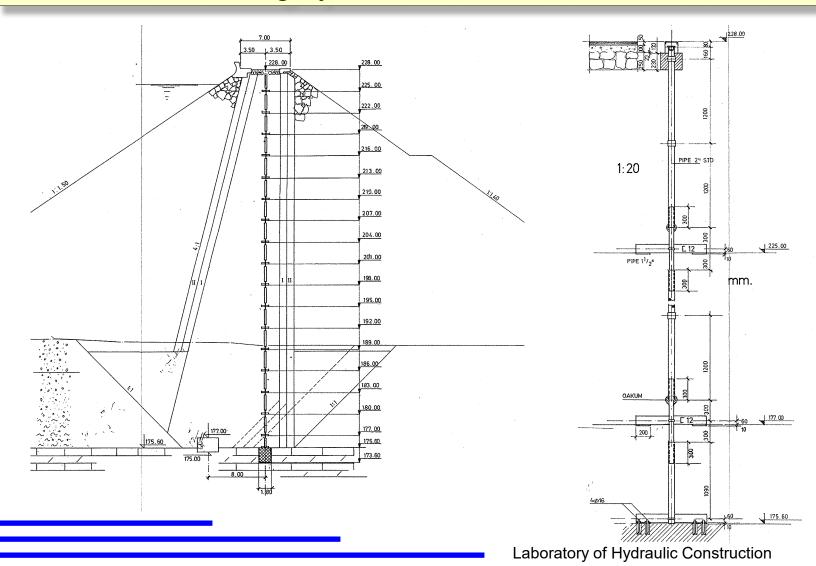




Auscultation system - Rod extensometer (rockmeter)

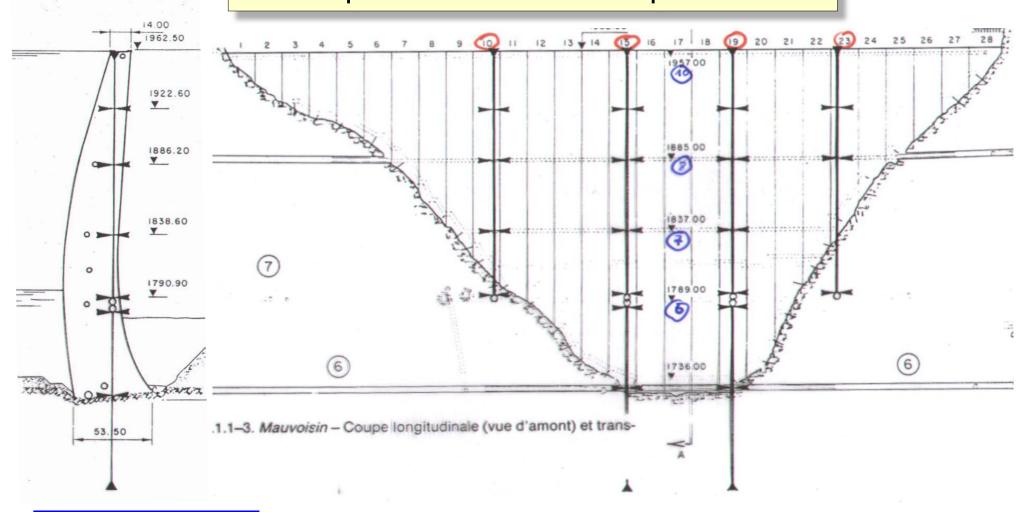


Monitoring system - Vertical settlement marker

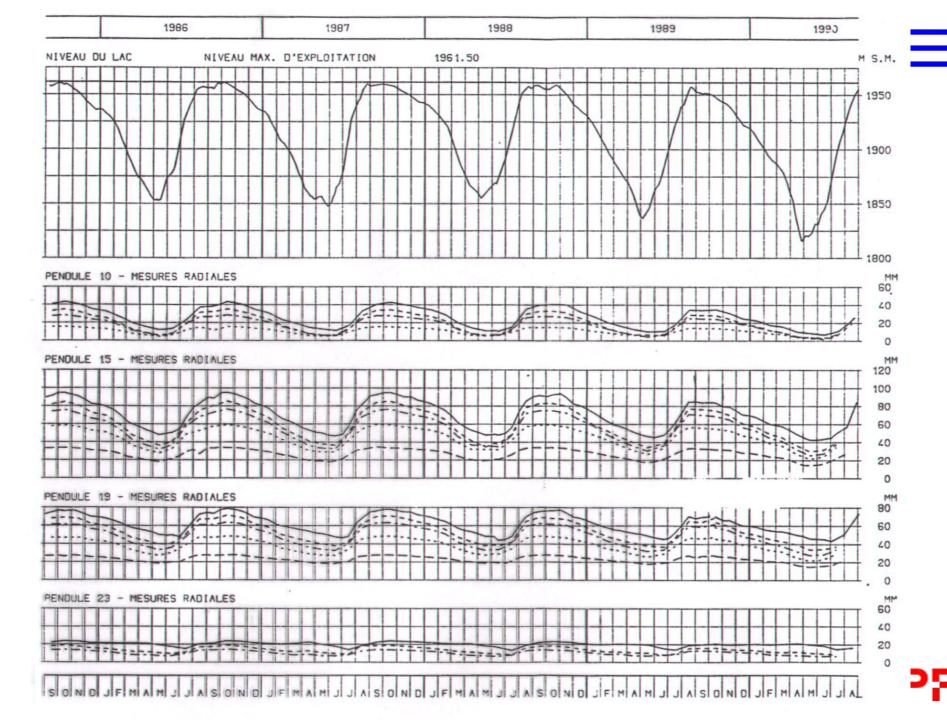




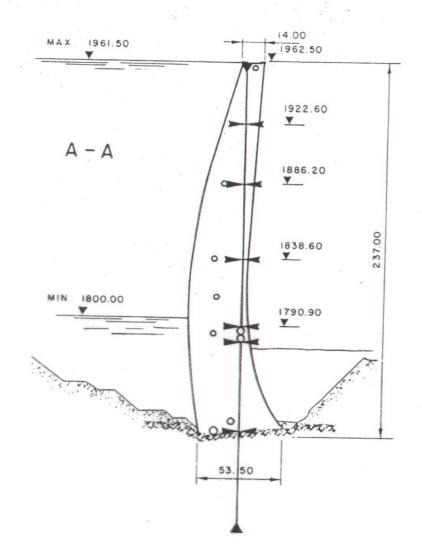
Example: Mauvoisin dam - plumblines



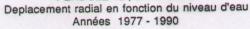


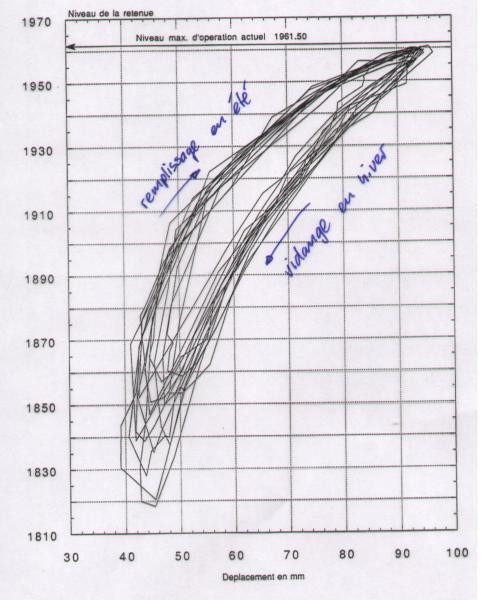


Example: Mauvoisin

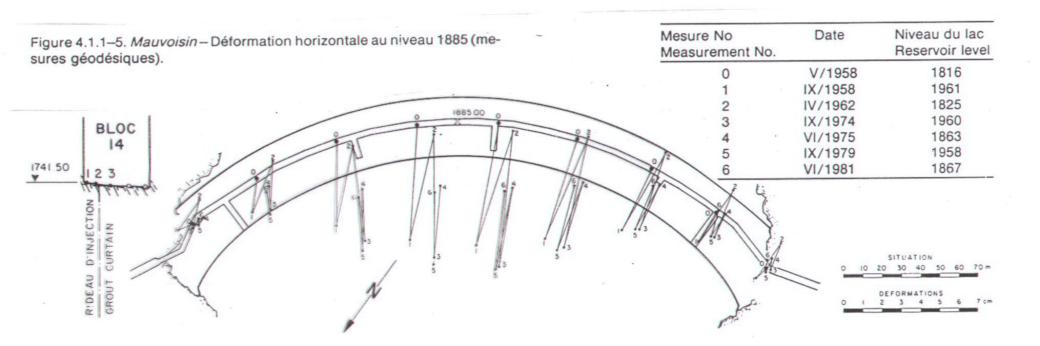


BARRAGE DE MAUVOISIN PENDULE 15, STATION S





Example: Mauvoisin dam



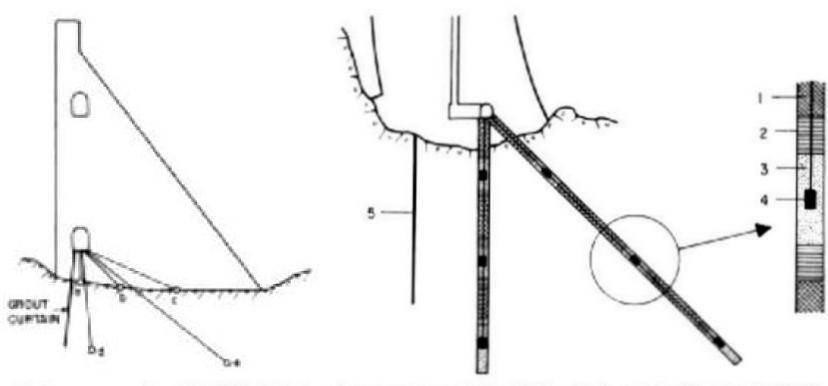
Monitoring system - Leakage

- → Volumetric measurements
- → Calibrated weir
- → Turbidity measurement





Monitoring system – Uplift pressures

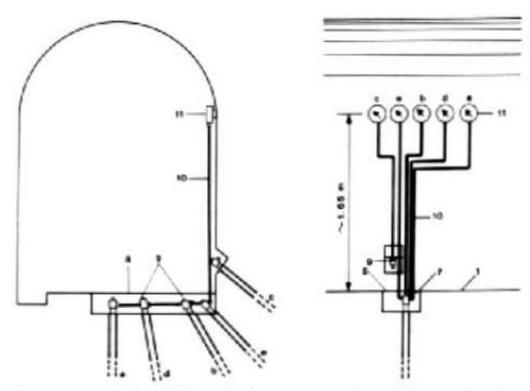


Reference number 2.301-1(2). Typical layout of uplift measurements of type (a).

Reference number 2:301-1(6). Possible layout of uplift measurements of types (c) and (d) in the foundation of a dam. 1 Grout, 2 Clay pellets, 3 Quartz sand (grain size 1,5 to 2 mm), 4 Piezometer, 5 Grout curtain.



Monitoring system – Uplift pressures



Reference number 2.301-1(3). Connections of pipes to manometers. Floor wall, 2 Grout, 3 Concrete, 4 Rock-concrete interface, 5 Tubed length of borehole, 6 Non-tubed borehole, 7 Ditch, 8 Metal cover, 9 T-piece (e.g. 2" to 2,5") acting as pipe closure and connection to pressure tube, 10 Flexible pressure tube, 11 Manometer.



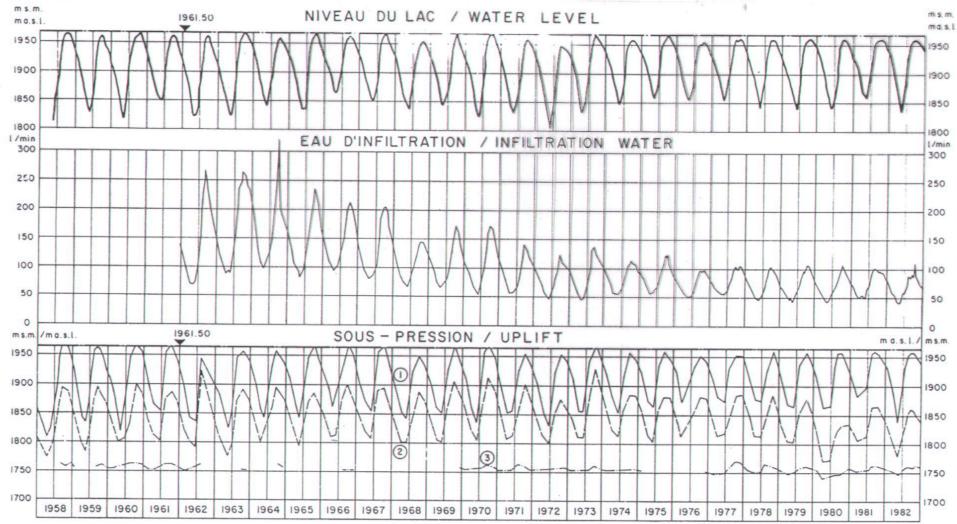


Figure 4.1.1-6. Mauvoisin-Eau d'infiltration et sous-pression.



Example: Châtelot dam

SOCIETE DES FORCES MOTRICES DU CHÂTELOT

Barrage du Châtelot

Expertise quinquennale 2018

Mémoire et annexes



LM/WG/CH09/R01

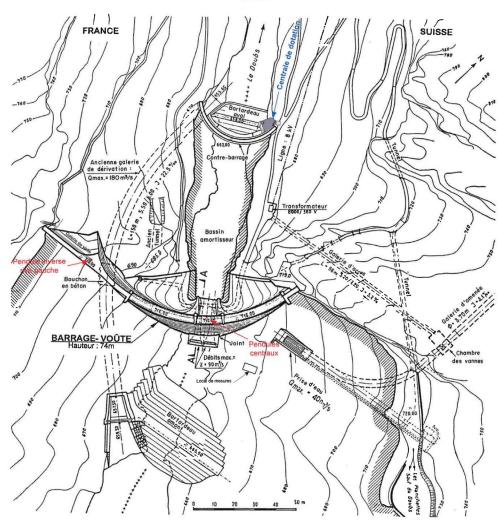
Lausanne, le 13 février 2020



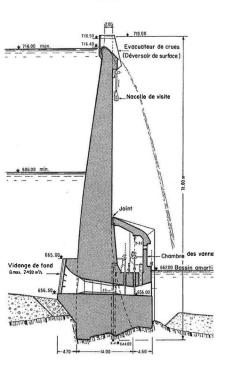


PLAN DE SITUATION

1:1000



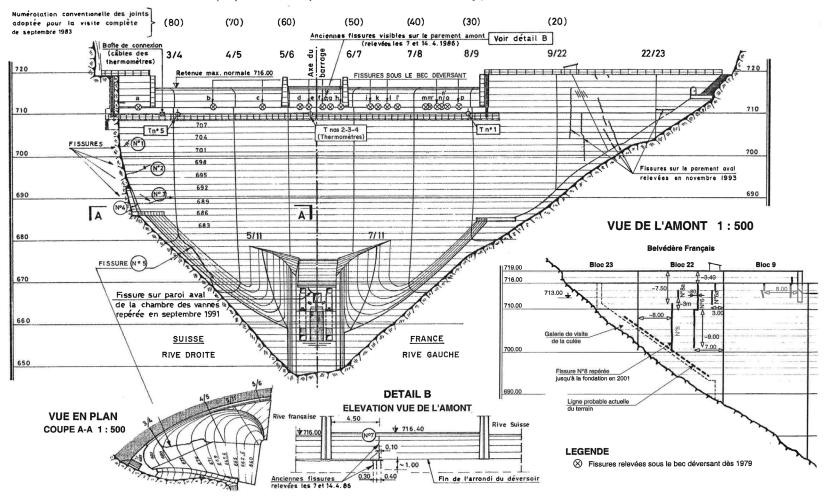
COUPE TRANSVERSALE A-A 1:500





VUE AVAL DEVELOPPEE

(Coupe A-A au droit du joint entre le chenal collecteur et le barrage)



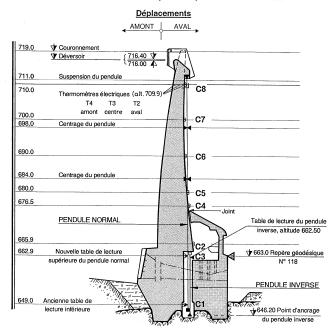


Barrage du Châtelot Expertise quinquennale 2018

DISPOSITIFS DE MESURES

(Section centrale du barrage)

- Modernisation et installation de nouveaux appareils de mesure : mai 1987
 - Installation de 12 nouveaux capteurs de pression : automne 1995



LEGENDE

- ▼ Point de suspension
- Centrage du pendule
- ◆ Poste de lecture
- Cuve du flotteur
- Poids tendeur
- ▲ Ancrage du pendule inverse
- Ci Clinomètre poste de lecture

Voir Annexe 19

Anciennes prises installées lors de la construction :
- 9 prises de pression entre le béton et le rocher,
réparties de part et d'autre de la section centrale.

The state of the s

Nouveaux capteurs de pression installés en 1995 :

- 6 capteurs au niveau du contact rocher - béton

- 6 capteurs dans le rocher

Remarque : Mesure des débits d'infiltration : Vidange périodique du puits, mesure par compteur.

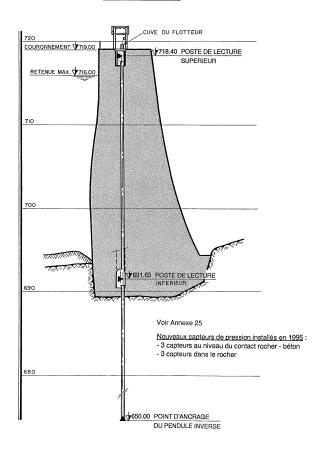
CH09/R01 Annexe 2

INSTALLATION D'UN PENDULE INVERSE

(Culée Rive Gauche / Bloc22)

Mesure origine: 22.03.1996 / Niveau du lac 708.39

Coupe Transversale



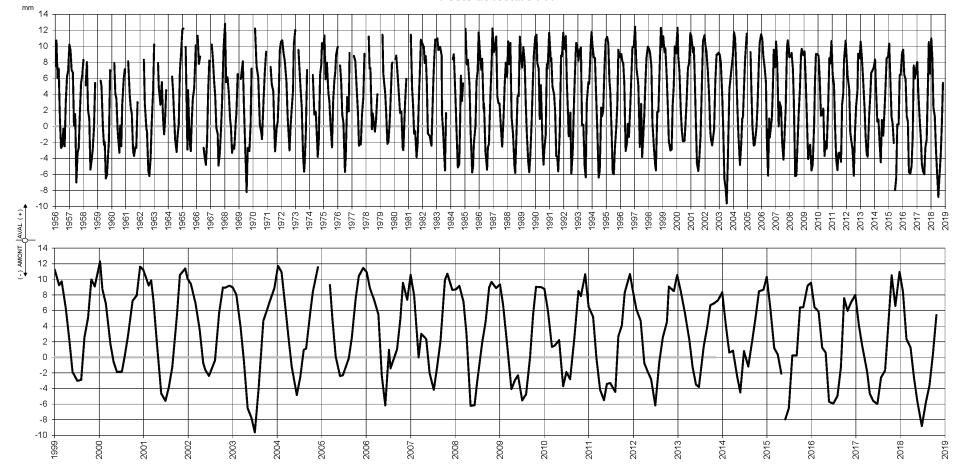


DEPLACEMENTS MESURES AMONT - AVAL DU POINT ALTITUDE 711.00 M.S.M.

(Pendule normal de la section centrale du barrage)

Mesure d'origine : 15.01.1953 / Niveau du lac : 657.00 m.s.m.

Poste de lecture 711

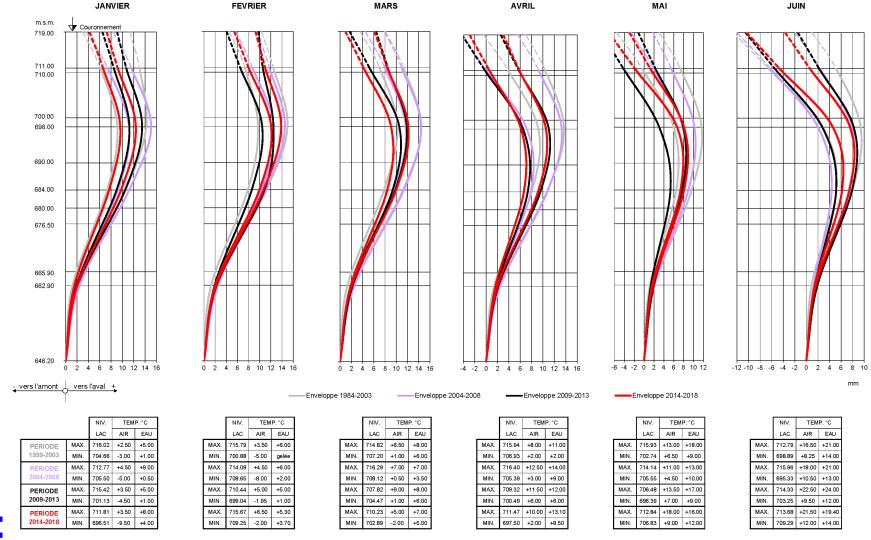




DEFORMATIONS AMONT - AVAL MESUREES AU MOYEN DES PENDULES

(Section centrale du barrage)

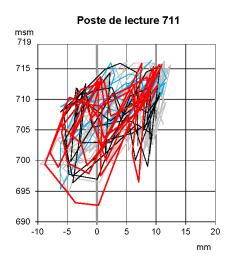
Mesure d'origine : 15.01.1953 / Niveau du lac : 657.00 m.s.m.

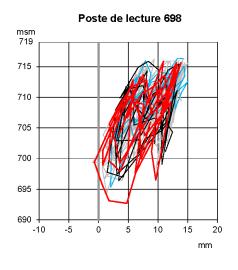


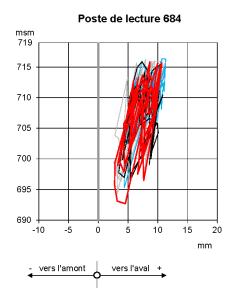
DEPLACEMENTS AMONT - AVAL MESURES AUX POSTES DE LECTURE DES PENDULES EN FONCTION DU NIVEAU DU LAC

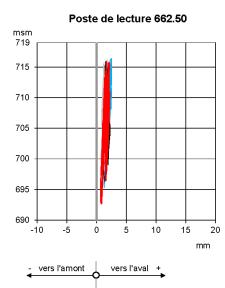
(Section centrale du barrage)

Mesure d'origine : 15.01.1953 / Niveau du lac : 657.00 m.s.m.







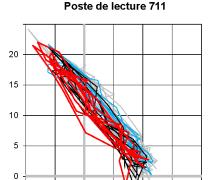




DEPLACEMENTS AMONT - AVAL MESURES AUX POSTES DE LECTURE DES PENDULES EN FONCTION DE LA TEMPERATURE DU BETON AU NIVEAU 711.00 M.S.M.

(Section centrale du barrage)

Mesure d'origine : 15.01.1953 / Niveau du lac : 657.00 m.s.m.



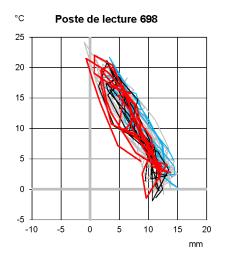
15

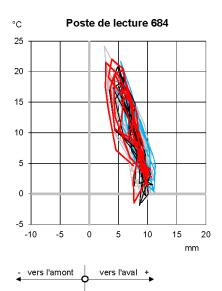
mm

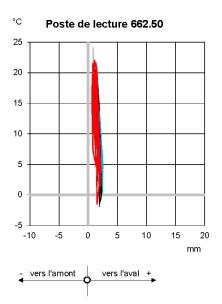
-10

-5

0









Stochastic predictive approach to travel

Le déplacement calculé d_i résulte de la superposition des déformations dues à quatre cas de charge :

$$d_i \equiv I_i + W_i + C_i + T_i$$

 I_i : Effet des déformations irréversibles (fluage, plasticité, endommagement, etc.)

$$\equiv a_1 + a_2 \cdot t$$

W_i: Effet du niveau du lac

$$\equiv a_3 \cdot w + a_4 \cdot w^2$$

où w est le niveau du lac

C_i: Effet du climat (ou période saisonnière)

$$\equiv a_5 \cdot \sin s + a_6 \cdot \cos s + a_7 \cdot \sin s \cdot \cos s + a_8 \cdot \sin^2 s$$

où s est le jour trigonométrique en radians : 1 année = 2π

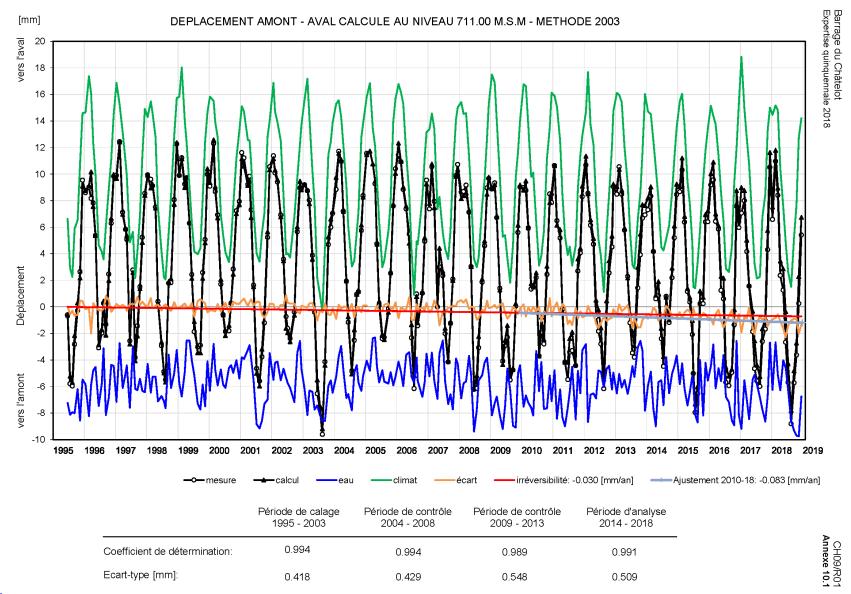
 T_i : Effet de l'état thermique du barrage

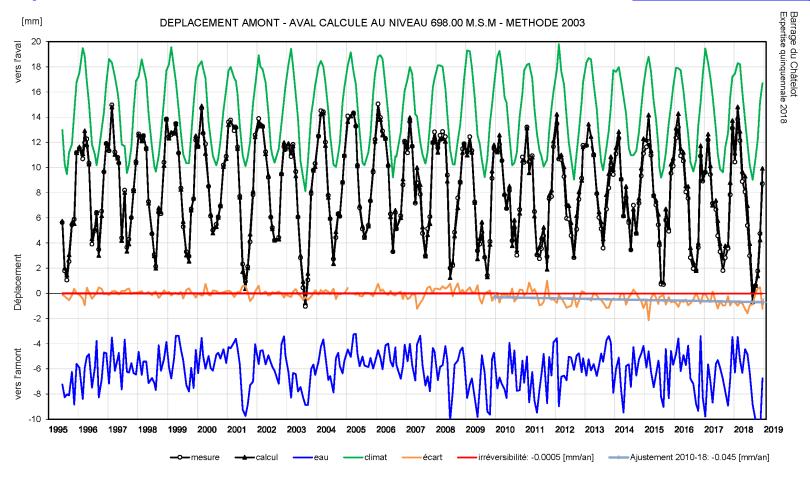
$$\equiv a_9 \cdot T_{1+} a_{10} \cdot T_{2}$$

où
$$T_1 = (T_{h2} + T_{h3} + T_{h4})/3$$
 et $T_2 = (T_{h1} + T_{h5} + (T_{h2} + T_{h3} + T_{h4})/3)/3$

et T_h sont les cinq thermomètres n° 1 à 5 placés dans le barrage.



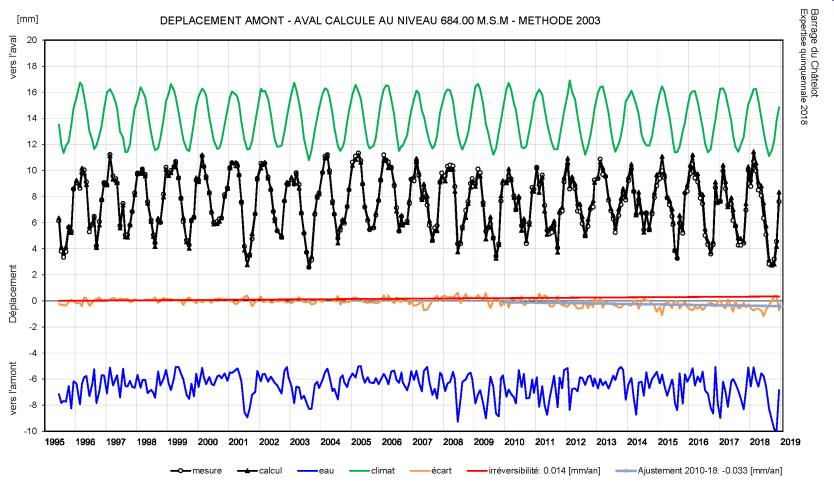




	Période de calage 1995 - 2003	Période de contrôle 2004 - 2008	Période de contrôle 2009 - 2013	Période d'analyse 2014 - 2018	
Coefficient de détermination	: 0.995	0.991	0.977	0.987	
Ecart-type [mm]:	0.273	0.358	0.508	0.478	







	Période de calage 1995 - 2003	Période de contrôle 2004 - 2008	Période de contrôle 2009 - 2013	Période d'analyse 2014 - 2018	
Coefficient de détermination	: 0,995	0.984	0.971	0.984	_
Ecart-type [mm]:	0.167	0.264	0.317	0.328	

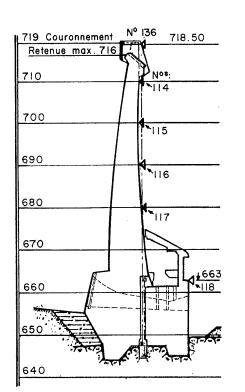


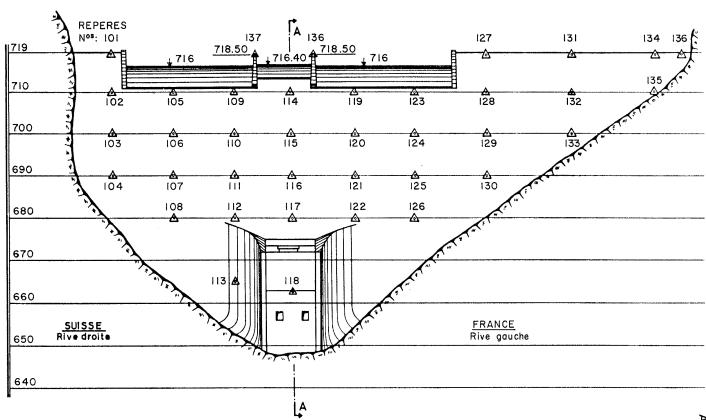


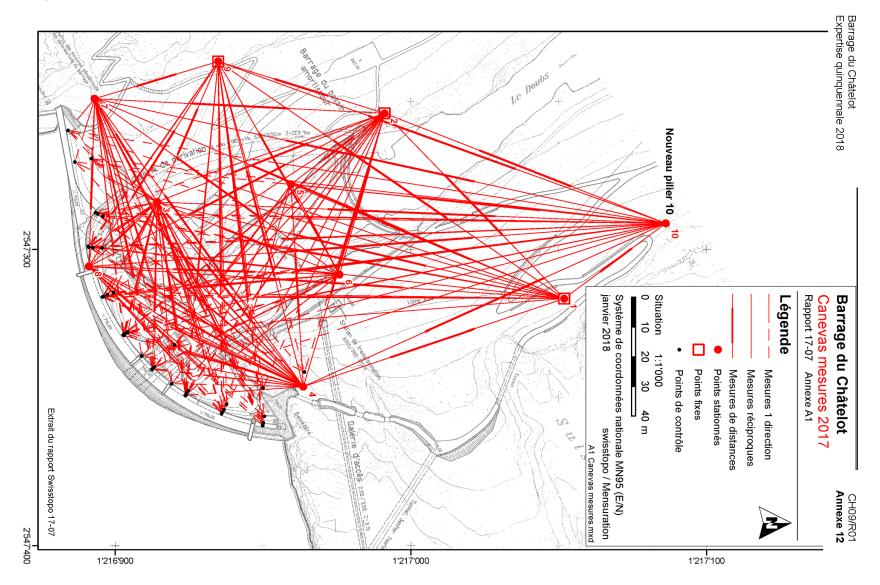
Disposition des repères scellés sur le parement aval

1:500

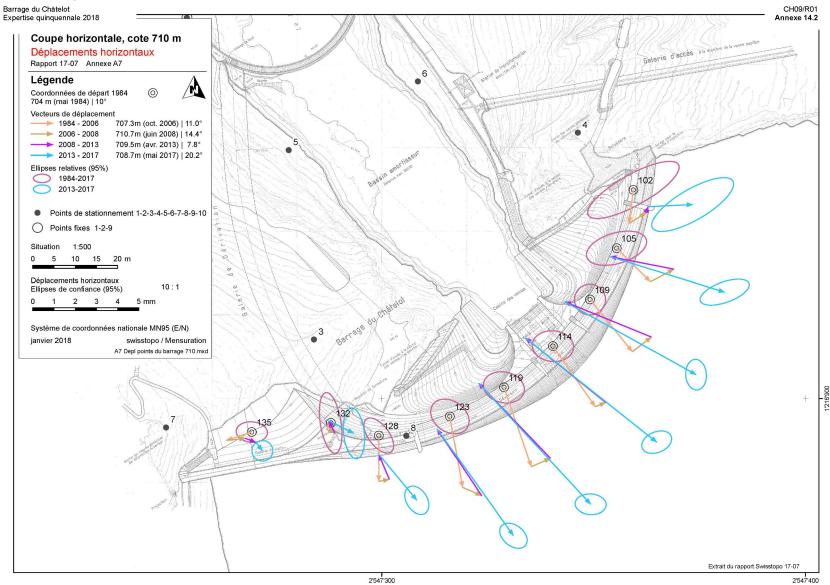
1:500

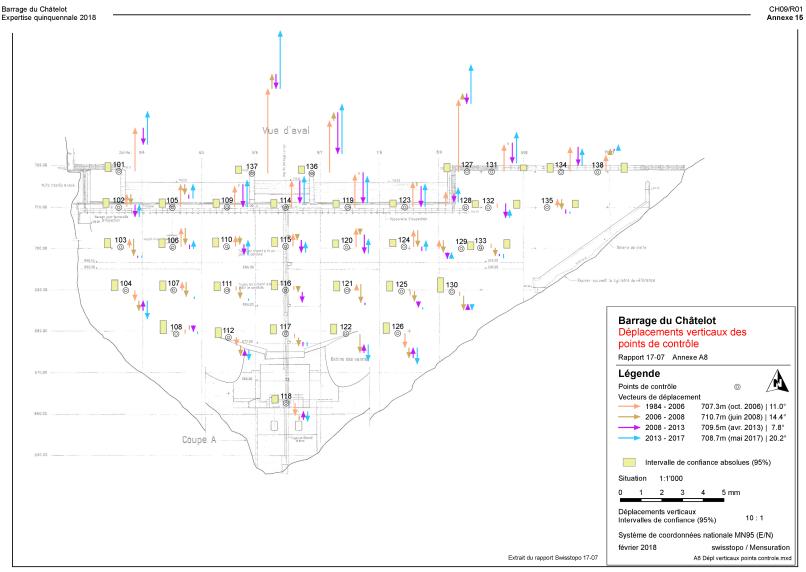


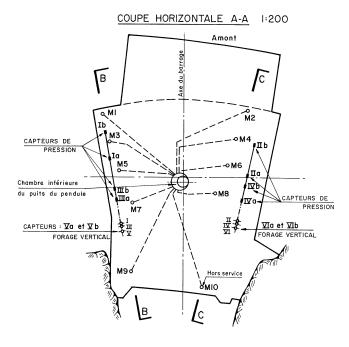




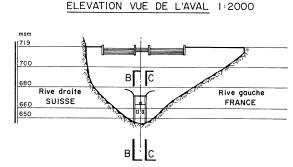




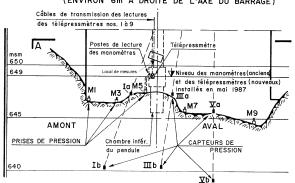




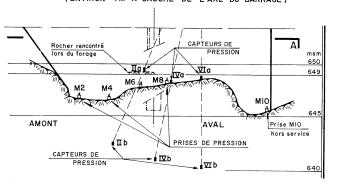
DISPOSITION DES PRISES M1 à M9 ET DES CAPTEURS DE PRESSION 1a à VIb



COUPE TRANSVERSALE B-B 1:200
(ENVIRON 6m A DROITE DE L'AXE DU BARRAGE)

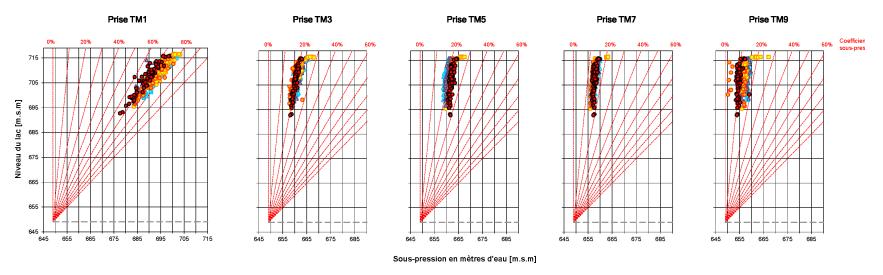


COUPE TRANSVERSALE C-C 1:200
(ENVIRON 4m A GAUCHE DE L'AXE DU BARRAGE)

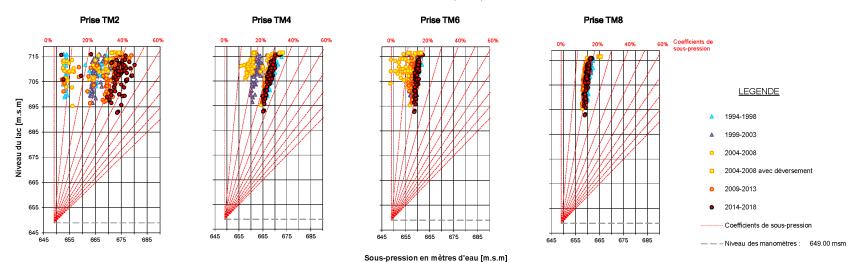




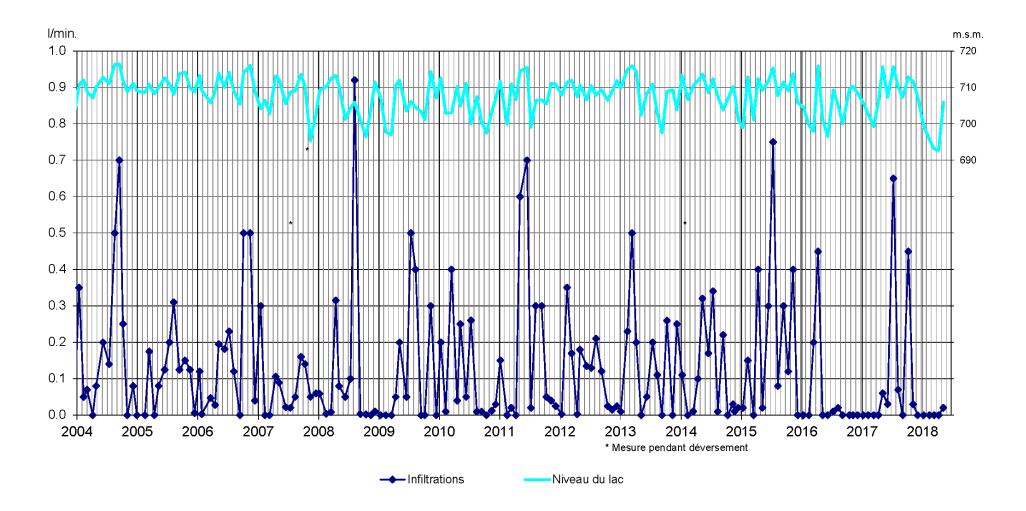
RIVE DROITE: PRISES TM1, TM3, TM5, TM7 et TM9



RIVE GAUCHE: PRISES TM2, TM4, TM6 et TM8

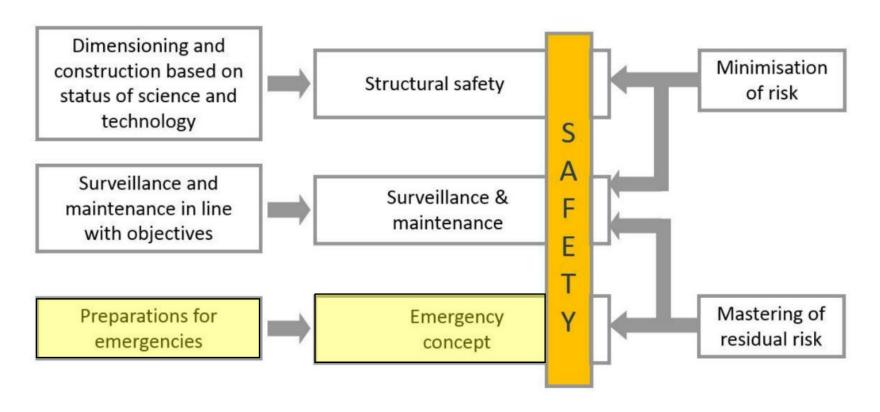


Débits maximaux d'infiltrations dans la culée, rive gauche





Swiss Dam Safety Concept



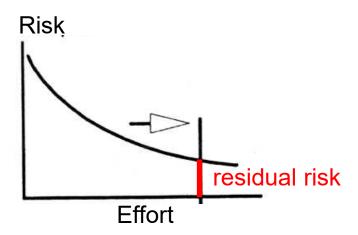
Emergency concept

- a) Structural safety is verified Periodic review
- b) Surveillance is performant

 Monitoring
 Inspections
 Test of HM equipment
- c) Periodic safety assessment

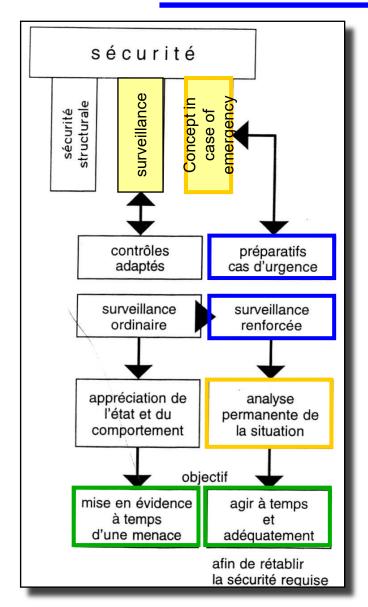


Minimisation of risk



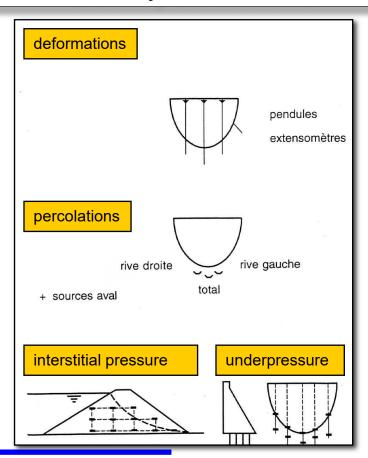


Correspondence between ordinary surveillance and enhanced surveillance

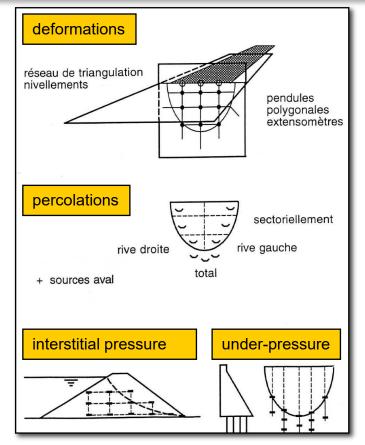


Concept of auscultation

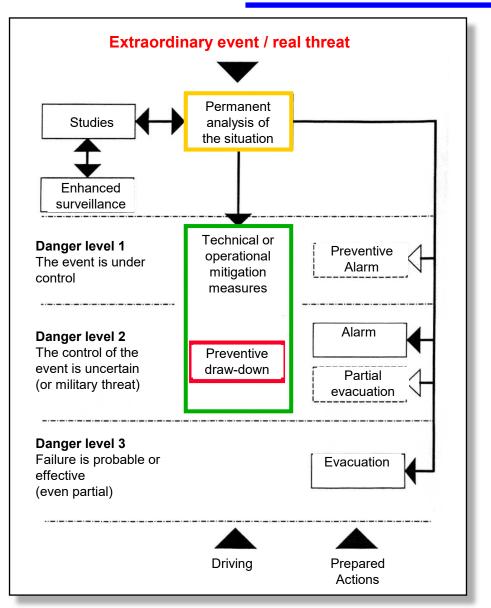
Ordinary surveillance



Enhanced surveillance



Emergency strategy



Flood maps – Failure mode

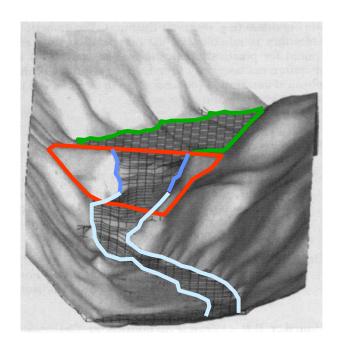
Instantaneous failure





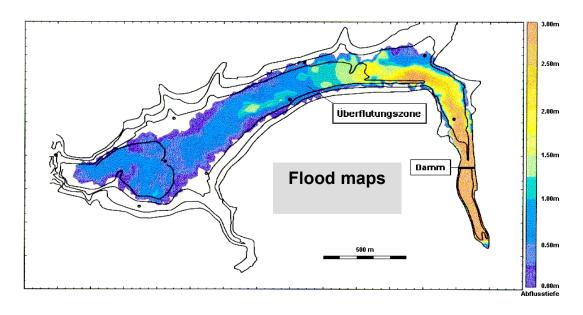


Flood maps - analysis of the rupture wave



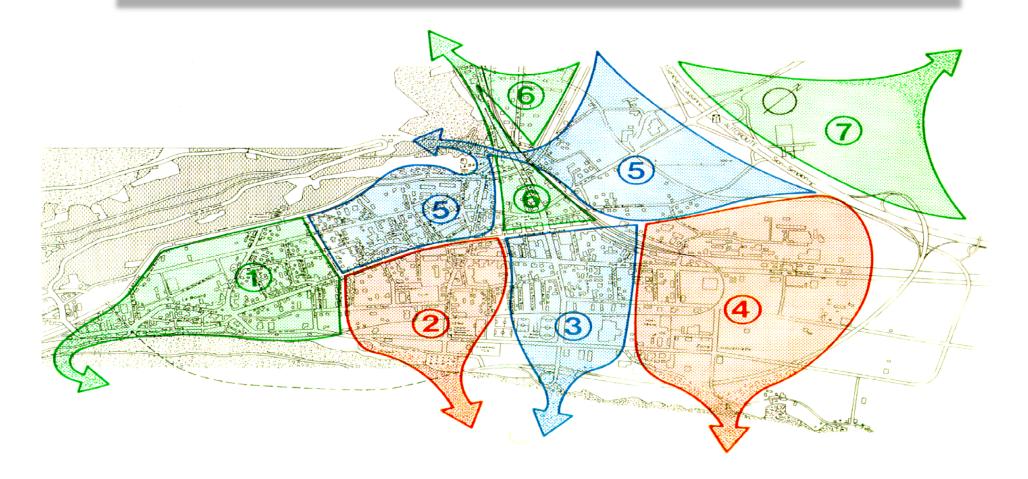
For further information:

- □ Water depth and velocity
- Arrival time



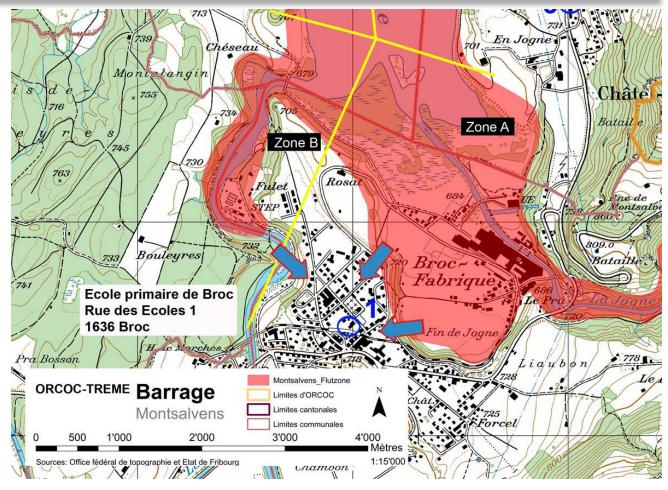


Evacuation plans

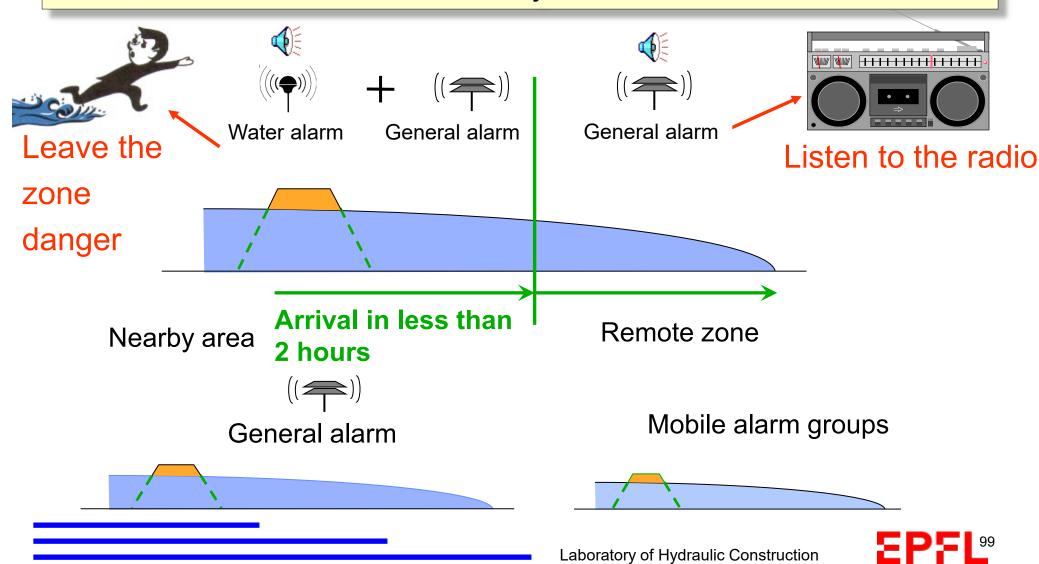




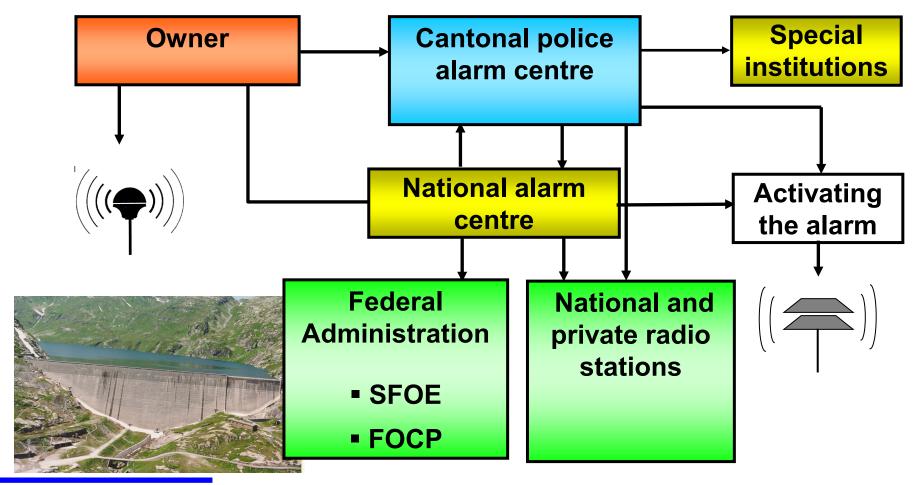
Evacuation plans



Alarm system



Alarm organisation





Conclusions



- The Swiss dam safety concept developed in Switzerland is a success:
 - To date, there have been no major accidents;
 - No significant and lasting interruption to hydroelectric operations.
- The Swiss concept serves as a reference and working basis for several of ICOLD's international technical committees.
- It sets an example in many countries for the development of dam safety regulations.



Further information



www.swissdams.ch

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